



PEO USC SBIR Overview for Small Businesses

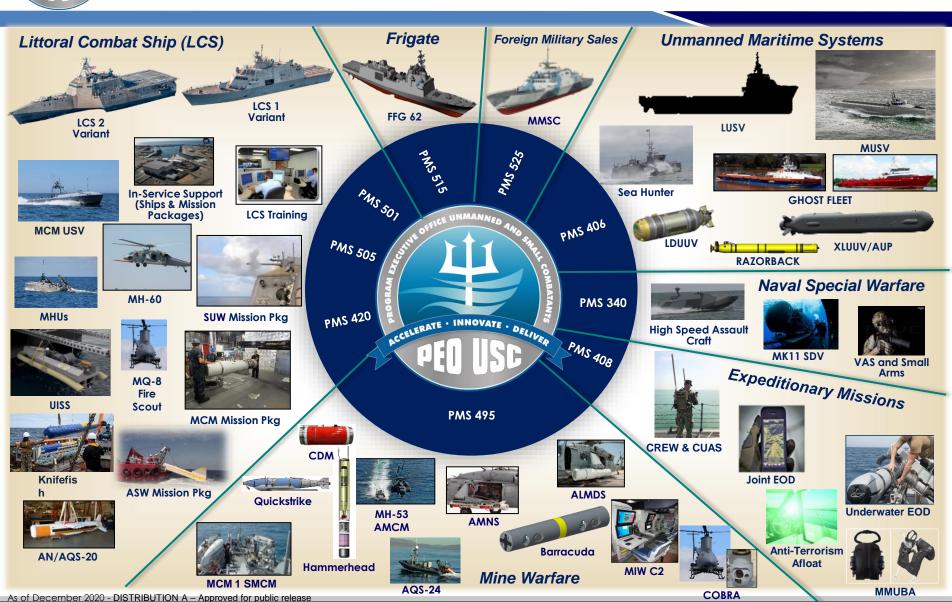
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PEO USC Portfolio





What is SBIR/STTR?

- The Small Business Innovation Research (SBIR)/Small Business Technology Transfer (STTR) program is a highly competitive program that encourages small businesses to engage in Federal Research and Development (R&D) with the potential for commercialization to stimulate innovation.
- Funds technology development through a gated process with three (3) phases executed through BAA contracts, grants, or agreements:
 - Phase I Concept Development
 - Phase I is the entry point to the program; it cannot be bypassed. Phase I proposals must respond to a specific topic in the BAA.
 - Phase II Prototype Development
 - Continues R&D efforts initiated in Phase I and evaluate commercialization potential.
 - Phase III Commercialization
 - Work that derives from, extends, or completes R&D efforts under prior SBIR/STTR Phase I/II



What We Fund

What are we looking to fund?

- R&D to close technology gaps or overcome significant technical hurdles:
- Investigate applications of novel technical solutions/approached
- Demonstrate feasibility/viability of new capabilities
- Incremental improvements to existing capabilities
- Solve and mitigate obsolescence issues
- Moderate technical risk, earlystage development
- Potentially game-changing technologies

What We Are Not Looking to Fund

- Basic research (i.e., research with the primary goal of knowledge creation)
- Analytical or "market" studies of existing technologies or products/services
- Engineering solutions without innovation
- Projects where there is no strong chance of transition success



How to Participate

Step 1: Determine your firm's eligibility

- Review complete eligibility requirements in the SBIR Policy Directive Chapter 6: Eligibility and Application (Proposal) Requirements
- For SBA's Guide to SBIR/STTR Program Eligibility, please search for SBIR Eligibility at https://www.sbir.gov/ (You must use the search function on the top right had side of the page.)

Step 2: Find a Topic

 Review the current announcements at https://www.dodsbirsttr.mil/submissions/login to identify topics of interest. Be sure to review both the DoD Announcement Instructions and the agencyspecific Instructions.

Step 3: Participate in the BAA Pre-release

• During the BAA pre-release period, communication between small businesses and topic authors is highly encouraged.

• Step 4: Prepare Your Proposal

 All proposals are screened to determine responsiveness with submission requirements published in the DoD SBIR/STTR Program Announcement and instructions. Proposals that do not comply with the requirements are considered non-responsive and are not evaluated. Proposals that do comply with the requirements are evaluated by engineers and/or scientists to determine the most promising technical and scientific approaches.

Step 5: Submit Proposal

 All SBIR/STTR proposals must be prepared and submitted electronically through the DoD SBIR/STTR Electronic Submission website at https://www.dodsbirsttr.mil/submissions/ and in accordance with the program announcement.



DoD SBIR BAA Schedule

- The SBIR or STTR program does not accept "unsolicited proposals", but instead requires that companies respond to current SBIR topics advertised in the SBIR/STTR Broad Agency Announcement (BAA)
- Topic is an description of the Navy's need or requirement. It should provide sufficient details and specifications to allow companies to submit an actionable proposal.
- DoN / NAVSEA participates in 3 DoD BAAs per year
 - Navy primarily participates in the FY.1 BAA
 - SBIR BAAs FY.1, FY.2, FY.3
 - STTR BAAs FYA, FYB, FYC
- BAA Pre-release is a time period where the BAA is issued but not open to accepting proposals.
 During this time a small business may contact the topic authors to ask questions relevant to the topic material. The release period generally is about 30 days in length.
- BAA Open Proposals are accepted during this period only. All proposals must be submitted electronically via the DoD's submission system. Also during this period, direct contact with the TPOC is not allowed.
- BAA Closed Immediately following the submission deadline, the DoD will stop accepting submissions.

DoD FY2022 BAA Schedule Dates subject to change				
Program	Pre-Release	Opens	Closes	
DoD SBIR 22.1 DoD STTR 22.A	23-Nov-21	5-Jan-22	10-Feb-22	
DoD SBIR 22.2 DoD STTR 22.B	19-Apr-22	17-May-22	15-Jun-22	
DoD SBIR 22.3 DoD STTR 22.C	23-Aug-22	21-Sep-22	20-Oct-22	
Official DoD SBIR Website Past Navy SBIR BAAs				



Proposal Tips

- Participate in pre-release discussions
- Respond to the ask
 - Ensure the solution meets the requirements (example: Size, Weight and Power constraints)
- Carefully read and follow the proposal instructions (BAA section 5.4)
 - Be mindful of page counts and font sizes
- Make sure you're using the correct proposal template
 - Proposals not in compliance with the templates are rejected
- Propose to the structure and amounts listed (see chart below)
 - SBIRs are not best value contracts
- Pay attention to ITAR restrictions or projections of classification in Phase II



Attention Small Businesses

The Program Executive Office Unmanned and Small Combatant is building its advantage through small business technologies!

Be the next great high-tech company to partner with the U.S. Navy. The 22.1/22A Small Business Innovation Research (SBIR) / Small Business Technology Transfer (STTR) Broad Agency Announcement is open with PEO USC topics in unmanned systems, autonomy, and communications.



PEO USC SBIR/STTR Opportunities

N221-028	Unmanned Harbor Piloting	
N221-031	Distributed Mission Effectiveness and Readiness Management System	
N221-032	3D Operator Decision Aides for Ship Control Systems	
N221-033	Perception System for Situational Awareness and Contact Detection for Unmanne	ed Underwater Vessels
N221-059	Directional Acoustic Communications Transmitters	
N22A-T012	Survivable Minefield Mission Data Module	*Topic experts available for

discussion until January 12

For more information: https://www.navysbir.com Submit your proposal at: https://www.dodsbirsttr.mil/



Resources

- The Small Business Administration has several local partners to train and support potential SBIR/STTR applicants.
 - https://www.sbir.gov/local-assistance
- SBIR/STTR solicitations and helpful resources.
 - https://sbir.defensebusiness.org
- DoD SBIR/STTR Innovation Portal (DSIP)
 - https://www.dodsbirsttr.mil
- Where to find information about DoN SBIR?
 - <u>https://www.navysbir.com</u>



Accelerate



Innovate



Deliver