

Personnel
Vetting
Transformation
Office
(PVTO)

**NDIA/AIA Industrial
Security Conference
Director Bill Lietzau**

October 2019

10.07 Transfer POAM Accomplishments as of 7 October 2019

Overall Transfer Status by Percentage of 439 Total Milestones



- Complete
- On target, no issues to highlight
- Experiencing delays/ interdependencies, no need to escalate
- Issues require escalation

Functional Area	Transfer Accomplishments
Authorities	<ul style="list-style-type: none"> • Completed EO requirements: Written Agreement, Report to President, Transfer of Authority • Secured access to legacy case data
Personnel	<ul style="list-style-type: none"> • Transferred 2,957 NBIB employees to DCSA Title 10 positions; only 17 employees declined • Transferred 6 WHS Attorneys and 637 DoD CAF employees to DCSA • Assembled and distributed DCSA badges and credentials to contractor and former NBIB and DSS background investigators (approximately 8,6000 badges) • Transferred leases for 2,007 vehicles • Transferred leases for NBIB facilities—49 GSA Occupancy Agreements (OA), 65 Inter Service Support Agreements (ISSA)
IT	<ul style="list-style-type: none"> • Stood up Inter-Agency IT Governance Board (I2GB) to manage legacy IT investments • Negotiated \$129 M of IT buyback services to cover legacy IT needs • Transferred 4,500+ IT assets that are accepted into DPAS
Financial Management	<ul style="list-style-type: none"> • Stood up DCSA Working Capital Fund (DWCF) with new financial reporting system in DAI • Transferred \$998M from OPM to DWCF by 7 Oct; remaining balance of OPM's revolving fund to come in FY20 Q1 • Negotiated \$40M of common services to support mission • Transferred 37+ NBIB active contracts to DCSA with no break in mission performance

Transfer Related Actions - Post-October 1

- **Transfer of Funds:** Update / reconcile retained earnings and make periodic transfers of advance payments
- **Buyback Agreements:** Update IT, FM, acquisitions, and other common services buyback agreements as necessary
- **IT Systems:** Update / confirm continued support and/or transfer of legacy IT systems

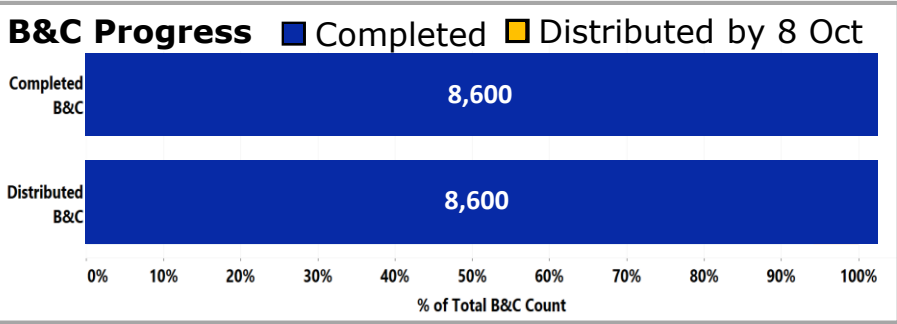
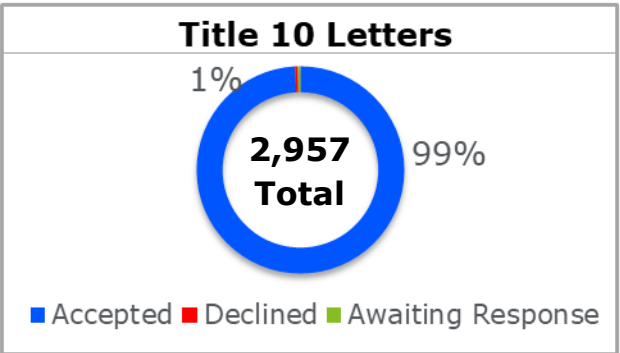
10.07 Transfer POAM Status Summary

● = On target, no issues to highlight

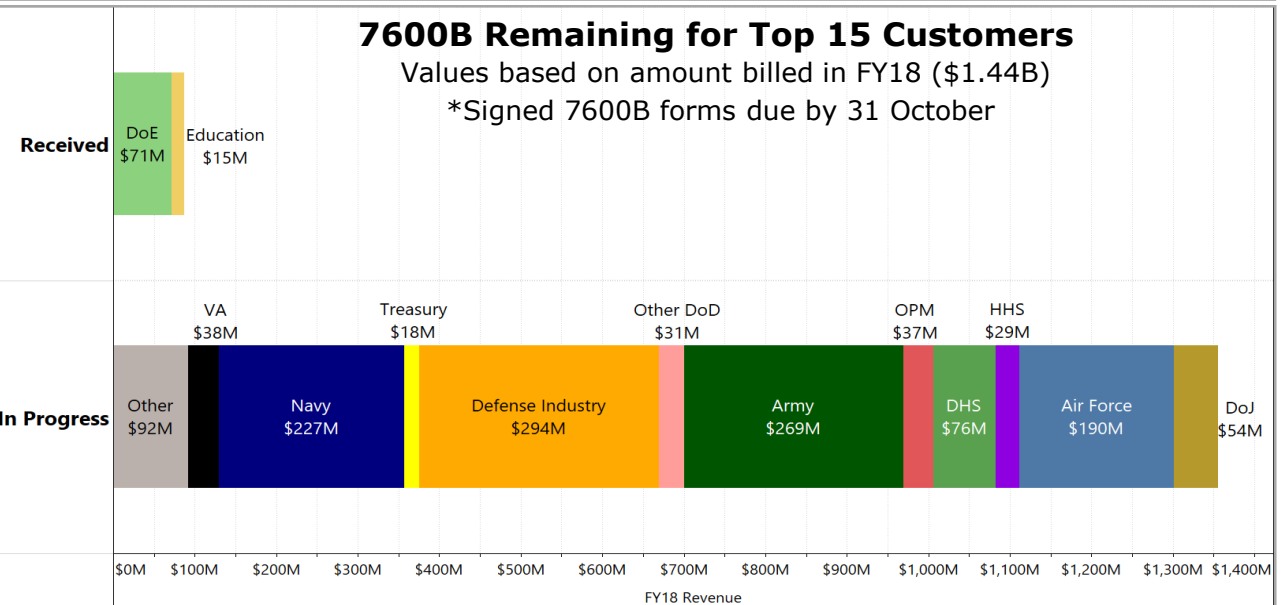
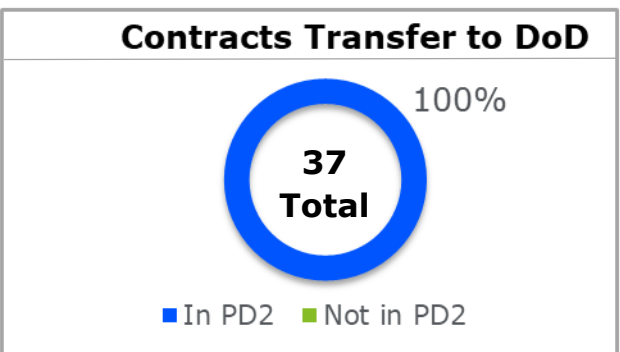
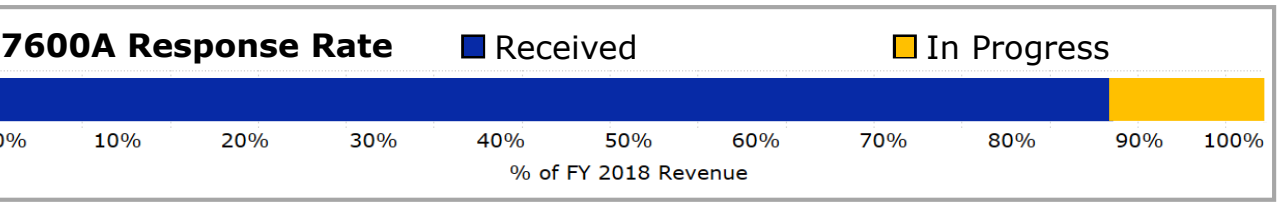
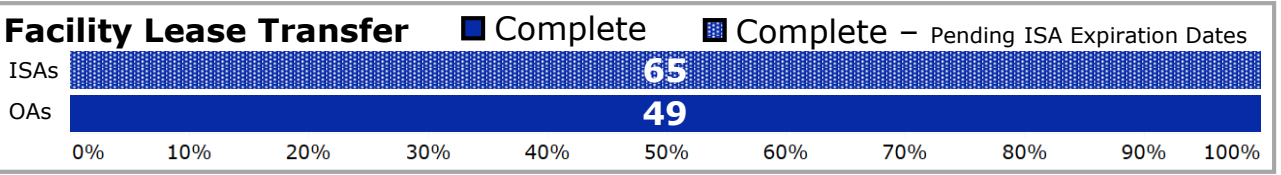
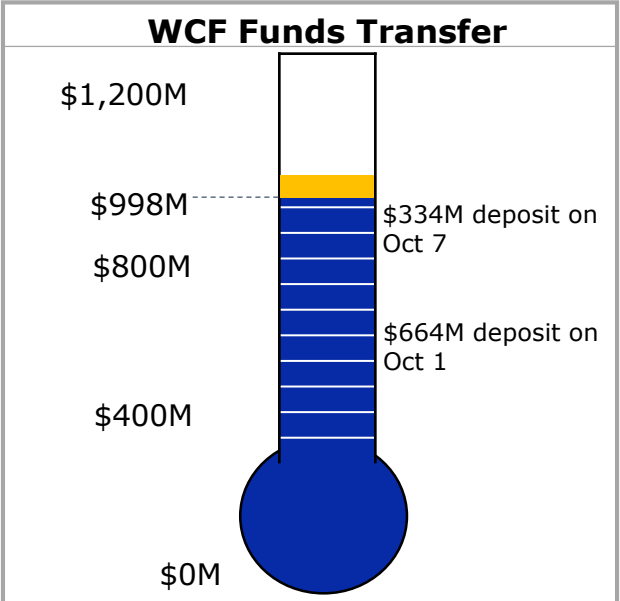
● = Experiencing delays/ interdependencies, no need to escalate

● = Issues require escalation

Functional Area	Functional Leader	Key Achievements	Critical Issues	Status
1. Governance	PVTO: B Lietzau	<ul style="list-style-type: none"> Facilitated DoD/OPM leadership engagement to resolve outstanding transfer issues 	<ul style="list-style-type: none"> Slow hiring process remains challenge for PVTO stand-up 	●
2. Personnel	DCSA: L Hoag NBIB: R Young / T Anthony	<ul style="list-style-type: none"> Converted all NBIB employees to Title 10 that accepted DCSA position offers (2,957 employees) Successfully transferred 6 WHS Attorneys (6) and 637 DoD CAF employees to DCSA 	<ul style="list-style-type: none"> N/A 	●
3. Information Technology	DCSA: J Hall NBIB: C Kaucher	<ul style="list-style-type: none"> Finalized DCSA/OPM approved IT IAA to support buyback services for NBIB legacy IT systems 	<ul style="list-style-type: none"> N/A 	●
4. Financial Management	DCSA: C Wilcoxon NBIB: J Jibilian	<ul style="list-style-type: none"> Finalized initial NET transfer (\$664M) and non-expenditure transfer (\$334M) to fund the DCSA Working Capital Fund (DWCF) Finalized DCSA/OPM approved FM/non-IT services IAA Designed, built, and tested DAI system to support WCF and SAS Interim CRM tool to support billing Built financial management processes to support DWCF Collected 7600As and started processing 7600Bs for primary customer base (top 15 customers cover 90% of revenue) 	<ul style="list-style-type: none"> N/A 	●
5. Security	DCSA: T Harrison NBIB: M Roman	<ul style="list-style-type: none"> Assembled and distributed 8,600 to DCSA personnel and contractors across all BI mission field offices Set up permanent RAPIDS station in Boyers, PA to support DCSA 	<ul style="list-style-type: none"> N/A 	●
6. Facilities, Logistics, & Equipment	DCSA: J Crail NBIB: R Bernardi	<ul style="list-style-type: none"> Confirmed NBIB property list accepted and uploaded to DPAS Signed Occupancy Agreement to transfer of GSA leases to DCSA; received confirmation to execute ISAs for field facilities 	<ul style="list-style-type: none"> N/A 	●
7. Acquisitions	DCSA: T Petersen NBIB: N Evans	<ul style="list-style-type: none"> Completed 100% of contract transfer and construction in DoD's PD2 system 	<ul style="list-style-type: none"> N/A 	●
8. Front Office	DCSA: Committee NBIB: R Young	<ul style="list-style-type: none"> Finalized OPM/DCSA approved Legacy Data Transfer MOU to secure DCSA access to and ownership of legacy case data Received Reasonable Accommodations requirements from OPM 	<ul style="list-style-type: none"> N/A 	●
9. Change Management	DCSA: K Brennan NBIB: A McCarthy	<ul style="list-style-type: none"> Established Change Champion Network across mission field offices Established and maintained Employee Portal for all Transfer-related information 	<ul style="list-style-type: none"> N/A 	●
10. Comms	DCSA: C McGovern NBIB: J Eskelsen	<ul style="list-style-type: none"> Developed and distributed internal and external Day-1 comms Kicked off DCSA roadshow in the field offices 	<ul style="list-style-type: none"> N/A 	●

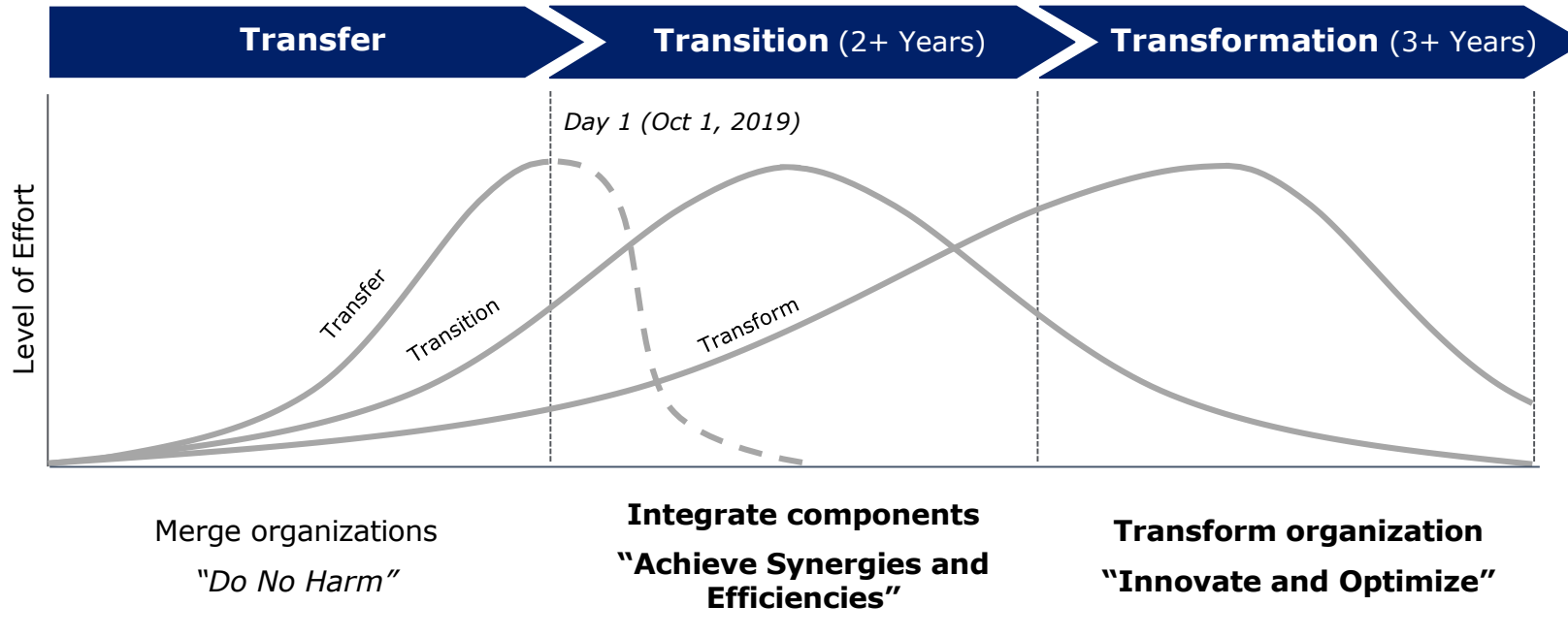


- ### Miscellaneous
- IT Buyback Signed 9/26
 - Non-IT Buyback Signed 9/26
 - Data Ownership Signed 8/7
 - Vehicle Transfer Complete 9/30



PURPOSE: LAUNCHING TRANSITION AND TRANSFORMATION

With Transfer complete, PVTO will turn its focus to Transition/Transformation



Transfer

- Identified Planning Assumptions and **Goals**
- Formulated **Critical path** with Milestones
- Selected **Initiative coordinator**
- Implemented mechanisms for **Transfer Management**

Day 1

- Day 1 Org Chart

Transition & Transformation

- Establish **Strategic Objectives**
- Set **Governance protocols**
- Identify **Project Management** teams
- Develop desired **Outcomes & KPIs**

PURPOSE: PVTO PURPOSE & OBJECTIVES

PVTO's purpose is to achieve five Transition/Transformation objectives

PVTO Purpose

To **transform DCSA** into the preeminent **industry and personnel vetting agency** so that the United States Government can rely on the **trustworthiness of its workforce**, **the security of its facilities**, and the **uncompromised nature of its supply chain**

This purpose will be realized through the deliberate and methodical management of defined strategic objectives with supporting initiatives and projects, while ensuring alignment with USD(I) policy and promoting establishment of a DCSA culture.

Strategic Objectives

Continuity of Operations	System and Data Security	Mission Performance	Technology and IT Innovation	Organizational Efficiencies
Ensure continuity of business and mission operations post-Day One	Build a secure environment for data handling and transfer	Optimize and transform conduct of DCSA missions to meet evolving performance requirements	Design and deploy new technology and data analytics capabilities to support mission optimization	Achieve synergies, and optimize organizational structure to realize improved customer value